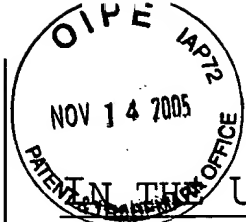


FW 2179



UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Ralf Hofmann et al.  
Assignee: Sun Microsystems, Inc.  
Title: METHOD AND STRUCTURE FOR DYNAMIC CONVERSION OF DATA  
Serial No.: 09/759,742 Filed: January 12, 2001  
Examiner: Queler, Adam M. Group Art 2179  
Unit:  
Docket No.: P-4356

Monterey, CA  
November 9, 2005

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER §1.97(b)**

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant(s) wish to call the following documents (a copy of each is enclosed) to the attention of the Examiner:

**U.S. PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	NAME
1)	5,809,415	09/15/98	Rossmann
2)	6,421,733	07/16/02	Tso et al.
3)	6,591,245	07/08/03	Klug
4)	6,732,330	05/04/04	Claussen et al.
5)	2001/0009016	07/19/01	Hofmann et al.
6)	2001/0020255	09/06/01	Hofmann et al.
7)	2001/0037417	11/01/01	Meyer

# OTHER DOCUMENTS

1)	Evans et al., "Using Java Applets and CORBA for Multi-User Distributed Applications", <u>IEEE Internet Computing</u> , Vol.1, No.3, pages 43-55, May-June 1997. (XP002080603).
2)	Dömel, "Mobile Telescript Agents and the Web", <u>Digest of Papers of the Computer Society Computer Conference Compcon</u> , Conf. 41, pages 52-57, February 2, 1996. (XP000628465).
3)	Cunningham, "Architecture for Location Independent CORBA Environments" <u>MSc Degree Dissertation</u> , pages 1-104, September 1998. (XP002145451).
4)	Eberhardt et al., "Electronic Commerce-A Comparative Study of Web Based Database Access", <u>XVI World Telecom Congress Proceedings</u> , pages 97-104, September 21, 1997. (XP000704460).
5)	Gokhale et al., "Evaluating the Performance of Demultiplexing Strategies for Real-time CORBA", <u>Global Telecommunications Conference, IEEE</u> , pages 1729-1734, November 3, 1997. (XP000737817).
6)	Berg, "How Do I Transfer Data Securely?", <u>Dr. Dobb's Journal</u> , pages 119-121, February 1998. (XP000937440).
7)	Koffman et al., "CS1 Using Java Language Features Gently" <u>Proceedings of the 4<sup>th</sup> Annual SIGSCE/SIGCUE Conference on Innovation and Technology in Computer Science Education</u> , Krakow, Poland, pages 40-43, June 27, 1999. (XP002145452).
8)	Coddington et al., "Web-Based Access to Distributed High-Performance Geographic Information Systems for Decision Support", <u>Proceedings of the 32<sup>nd</sup> Hawaii International Conference on System Sciences</u> , pages 1-12, January 5, 1999. (XP002145453).
9)	Cimpu et al., "Dynamic Managed Objects for Network Management", <u>Proceedings of the 1999 IEEE Canadian Conference on Electrical and Computer Engineering</u> , pages 156-161, May 9-12, 1999. (XP002145454).

A PTO form 1449 listing these documents is enclosed.

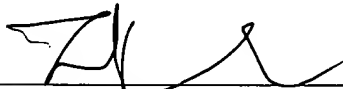
Citation of the above documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;
2. a representation that a search has been made, other than as described above; or
3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

The Commissioner is hereby authorized to charge any fees required for consideration of this Information Disclosure Statement, and to credit any overpayment of fees to Deposit Account No. 50-0553.

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on November 9, 2005.



Attorney for Applicant(s)

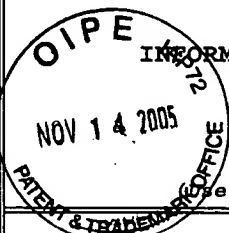
November 9, 2005

Date of Signature

Respectfully submitted,



Forrest Gunnison  
Attorney for Applicant(s)  
Reg. No. 32,899  
(831) 655-0880

Form PTO-1449				Atty Docket No. P-4356		Serial No. 09/759,742	
 <p><b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b></p> <p><i>(Use several sheets if necessary)</i></p>				Applicant(s) Ralf Hofmann et al.			
				Filing Date January 12, 2001		Group 2179	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,809,415	09/15/98	Rossmann	455	422	
	AB	6,421,733	07/16/02	Tso et al.	709	246	
	AC	6,591,245	07/08/03	Klug	705	10	
	AD	6,732,330	05/04/04	Claussen et al.	715	513	
	AE	2001/0009016	07/19/01	Hofmann et al.	709	219	
	AF	2001/0020255	09/06/01	Hofmann et al.	709	318	
	AG	2001/0037417	11/01/01	Meyer	709	332	
<b>FOREIGN PATENT DOCUMENTS</b>							
							<b>Translation</b>
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES      NO
	AH						
<b>OTHER DOCUMENTS</b> (Including Author, Title, Date, Pertinent Pages, Etc.)							
	AI	Evans et al., "Using Java Applets and CORBA for Multi-User Distributed Applications", <u>IEEE Internet Computing</u> , Vol.1, No.3, pages 43-55, May-June 1997. (XP002080603).					
	AJ	Dömel, "Mobile Telescript Agents and the Web", <u>Digest of Papers of the Computer Society Computer Conference Compcon</u> , Conf. 41, pages 52-57, February 2, 1996. (XP000628465).					
	AK	Cunningham, "Architecture for Location Independent CORBA Environments" <u>MSc Degree Dissertation</u> , pages 1-104, September 1998. (XP002145451).					
	AL	Eberhardt et al., "Electronic Commerce-A Comparative Study of Web Based Database Access", <u>XVI World Telecom Congress Proceedings</u> , pages 97-104, September 21, 1997. (XP000704460).					
	AM	Gokhale et al., "Evaluating the Performance of Demultiplexing Strategies for Real-time CORBA", <u>Global Telecommunications Conference</u> , IEEE, pages 1729-1734, November 3, 1997. (XP000737817).					
	AN	Berg, "How Do I Transfer Data Securely?", <u>Dr. Dobb's Journal</u> , pages 119-121, February 1998. (XP000937440).					
	AO	Koffman et al., "CS1 Using Java Language Features Gently" <u>Proceedings of the 4<sup>th</sup> Annual SIGSCE/SIGCUE Conference on Innovation and Technology in Computer Science Education</u> , Krakow, Poland, pages 40-43, June 27, 1999. (XP002145452).					
Examiner		Date Considered					
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).							

Form PTO-1449

Atty Docket No.

Serial No.

P-4356

09/759,742

**INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION**

Applicant(s)

Ralf Hofmann et al.

Filing Date

January 12, 2001

Group

2179

(Use several sheets if necessary)

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	AA						
	AB						
	AC						
	AD						
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	AG						
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	AK						

**FOREIGN PATENT DOCUMENTS**

							Translation	
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
	AL							
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	AN							
	AO							

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AQ	Cimpu et al., "Dynamic Managed Objects for Network Management", <u>Proceedings of the 1999 IEEE Canadian Conference on Electrical and Computer Engineering</u> , pages 156-161, May 9-12, 1999. (XP002145454).
AR	

Examiner

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